

AF

TFW 2134

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Dated: August 4, 2006

Signature:

(Lawrence E. Russ)

Registration No.: SONYAK 3.3-151
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Asano et al.

Application No.: 09/980,272

Group Art Unit: 2134

Filed: March 6, 2002

Examiner: J. Lipman

For: INFORMATION RECORDING/PLAYBACK
APPARATUS AND METHOD

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

CERTIFICATION PURSUANT TO 37 C.F.R. § 1.97(E) (1)

Dear Sir:

Pursuant to 37 C.F.R. § 1.97(e) (1), undersigned counsel hereby certifies that each item of information contained in the accompanying Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application to the above-referenced patent application not more than three months prior to the filing of said statement.

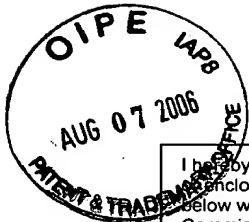
Dated: August 4, 2006

Respectfully submitted,

By

Lawrence E. Russ

Registration No.: 35,342
LERNER, DAVID, LITTENBERG,
KRUMHOLZ & MENTLIK, LLP
600 South Avenue West
Westfield, New Jersey 07090
(908) 654-5000
Attorney for Applicant



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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Dear Sir:

It is respectfully requested that the reference listed on the enclosed form be made of record and considered with respect to the above-referenced U.S. patent application. A copy of the non-US reference is enclosed.

The reference was cited in the Supplementary European Search Report that issued in connection with corresponding International Application EP 01 91 9796, a copy of which is enclosed. Submission of the present Information Disclosure Statement should not be taken as an admission that the cited reference is legally available prior art or that the same is pertinent or material.

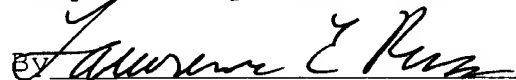
Application No.: 09/980,272

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In the event that any fee is due in connection with the present Information Disclosure Statement, the Commissioner is hereby authorized to charge the same to our Deposit Account No. 12-1095.

Dated: August 4, 2006

Respectfully submitted,



Lawrence E. Russ

Registration No.: 35,342

LERNER, DAVID, LITTENBERG,

KRUMHOLZ & MENTLIK, LLP

600 South Avenue West

Westfield, New Jersey 07090

(908) 654-5000

Attorney for Applicant

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PTO/SB/08a/b (07-05)

Approved for use through 07/31/2006. OMB 0651-0031

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| Substitute for form 1449A/B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary) | | | | Complete if Known | |
| | | | | Application Number | 09/980,272-Conf. #1051 |
| | | | | Filing Date | March 6, 2002 |
| | | | | First Named Inventor | Tomoyuki Asano |
| | | | | Art Unit | 2134 |
| | | | | Examiner Name | J. Lipman |
| Sheet | 1 | of | 1 | Attorney Docket Number | SONYAK 3.3-151 |

| U.S. PATENT DOCUMENTS | | | | | |
|-----------------------|--------------------------|--|--------------------------------|--|---|
| Examiner Initials* | Cite No. ¹ | Document Number | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
| | | Number-Kind Code ² (if known) | | | |
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| FOREIGN PATENT DOCUMENTS | | | | | | |
|--------------------------|--------------------------|---|-----------------------------------|--|---|----------------|
| Examiner Initials* | Cite No. ¹ | Foreign Patent Document | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T ⁶ |
| | | Country Code ³ -Number ⁴ -Kind Code ⁵ (if known) | | | | |
| | BA | EP-0 768 774 | 04-16-1997 | Sony Corporation | | ✓ |
| | BB | DE-19511298-A1 | 10-02-1996 | Deutsche Telecom AG | | |
| | BC | WO-01/03365-A1 | 01-11-2001 | Matsushita Electric Industrial Co., Ltd. | | ✓ |
| | BD | EP-1 008 989-A2 | 06-14-2000 | Sony Corporation | | ✓ |

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| NON PATENT LITERATURE DOCUMENTS | | | |
|---------------------------------|--------------------------|--|----------------|
| Examiner Initials | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T ² |
| | CA | Chang <i>et al.</i> , "Key Management for Secure Internet Multicast using Boolean Function Minimization Techniques", Infocom '99, Eighteenth Annual Joint Conference of the IEEE Computer and Communications Societies. Proceedings. IEEE New York, NY, 689-697 (March 21, 1999) | |

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¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

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| Examiner Signature | | Date Considered | |
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